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# **EUROPEAN PATENT APPLICATION**

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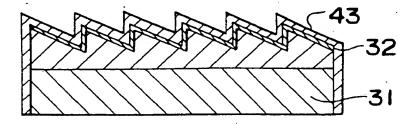
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- Die for forming a micro-optical element, manufacturing method therefor, micro-optical element and manufacturing method therefor.
- D A die which can press-mold an accurate glass micro-optical element such as a grating repetitively can be manufactured easily by adhering a thin film, having an inverse shape of the master of a micro-optical element, on the top flat plane of a hard base body and by forming a protective layer thereon. The die having an inverse shape of the master can press-mold glass repetitively. By press-molding glass with the die, reliable glass microoptical elements of the same shape as the master can be produced in a large amount and at a low cost.

Fig.4



# **BACKGROUND OF THE INVENTION**

#### Field of the Invention

The present invention relates to a glass micro-optical element such as a grating, a micro-Fresnel lens or a micro-lens array, a method for manufacturing a glass micro-optical element, a die used for forming a micro-optical element and a manufacturing method therefor.

# Description of the Prior Art

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Previously, when a grating is produced, a thermo-plastic resin is processed directly to form a grating (refer Japanese Patent laid open Publication 54-110,857, Japanese Patent Publication 60-25,761). However, such a resin grating has a disadvantage that the grating changes its volume or its shape with environment such as temperature or humidity. Then, the accuracy of the grating becomes worse. Further, because the strength of the resin is low, the surface is liable to be damaged. Thus, a very accurate and reliable grating cannot be produced with a thermo-plastic resin.

On the other hand, a glass grating has advantages that durability is good, that it is hard to be damaged on the surface, and that its accuracy is not deteriorated due to environment. A method is proposed wherein a glass grating is formed directly on the glass surface directly with dry etching (Japanese Patent laid open Publication 55-57,807). However, it takes a long time to produce a grating in this method, and the mass production of the gratings of the same shape is impossible.

Recently, mass production with use of press-molding of glass is proposed for an optical glass element such as an asymmetrical glass lens. If a very accurate optical glass element is produced with the press-molding method, the shape of the optical glass element is required to be reproduced well. Therefore, a material of a die used for the press-molding is required to be inactive for glass at high temperatures, to be sufficiently hard at a surface portion in contact with glass on forming the glass, difficult to be damaged by friction and the like, hard to be deformed plasticly or hard to grow crystal grains at the surface portion at high temperatures, superior on heat shock resistance and to be superior on the workability for very accurate forming.

The materials for a die which satisfy these conditions to some extent include SiC and  $Si_3N_4$  (refer Japanese Patent laid open Publication 52-45,613). If SiC or  $Si_3N_4$  is used as a die material, it is a problem that it is very difficult to form a die of a desired shape because the material is very hard. Further, the material is reactive with glass at high temperatures, and glass adheres to the die after repetitive forming with the press so that an accurate grating cannot be formed.

Further, a die is proposed recently wherein a base material of a hard metal is coated with a platinum group alloy thin film (refer Japanese Patent laid open Publication 60-246,230). The die can be used for grinding, but it cannot be used to produce a fine shape accurately. Thus, the die cannot be used as a die for grating.

As to micro-optical elements other than grating, a die for press-molding glass to form a reliable and accurate micro-optical element also has not yet been produced.

### SUMMARY OF THE INVENTION

it is an object of the present invention to provide a method for manufacturing a die easily which can press-mold glass repetitively to produce a large amount of very accurate and reliable micro-optical elements.

It is another object of the present invention to provide a very endurable and very accurate die which can press-mold glass micro-optical elements repetitively.

It is a still another object of the present invention to provide a very accurate micro-optical element.

It is a further object of the present invention to provide a method for manufacturing a glass micro-optical element.

In one aspect of the invention, the present invention provides a die for manufacturing a micro-optical element such as a grating. The die comprises a base body having a top flat plane, a heat resistant film is applied to the flat plane of the base body, which film having a surface of an inverse shape of a master of a micro-optical element. Further, a protection layer is formed on the surface of the film. The die is very hard, very endurable, and it does not adhered to glass at high temperatures, so that glass can be press-molded repetitively. Glass can be formed repetitively by using the die and a large amount of glass micro-optical elements can be manufactured at a low cost.

The die is manufactured as follows: First, a master of the micro-optical element at a high accuracy is provided. Then, a heat-resistant film is formed on the surface of the master, and the film is separated from the master accurately. Thus, the film duplicates an inverse shape of the fine shape of the master. Next, the film is adhered to a base body, and a protection layer is coated on the film. Because the transfer property of the shape of the master to the film is good, glass micro-optical elements having the same shapes as the master can be manufactured with good reproducibility.

An advantage of a method for manufacturing a die for press-molding of the present invention is that the inverse shape of the master is reproduced well directly so that the die has an accurate shape.

A further advantage of a method of the present invention is that mass production of micro-optical elements is possible at a low cost.

An advantage of a micro-optical element of the present invention is that it has an accurate shape.

Another advantage of a micro-optical element of the present invention is that because a micro-optical element is made of glass, it is superior on durability and its shape does not change with the environment.

### 5 BRIEF DESCRIPTION OF THE DRAWINGS

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These and other objects and features of the present invention will become clear from the following description taken in conjunction with the preferred embodiments thereof with reference to the accompanying drawings, and in which:

Fig. 1 is a schematic sectional view of a die for grating used in an embodiment of the present invention;

Fig. 2 is a schematic sectional view of a Ni-P alloy plate film duplicating the inverse shape of the die for grating in an embodiment of the present invention;

Fig. 3 is a schematic sectional view of a die in a state wherein a Ni-P alloy plating film, duplicating the inverse shape of the die for grating, is adhered to a surface of a base material polished as a specular plane at the top and bottom planes of a cermet cylinder including TiN as a main component;

Fig. 4 is a schematic sectional view of a die for press-molding of grating of an embodiment of the present invention;

Fig. 5 is a schematic diagram of a press-molding machine used in an embodiment of the present invention.

Fig. 6 is a schematic sectional view of a grating manufactured according to an embodiment of the present invention;

Fig. 7 is a schematic sectional view of a die for press-molding for a micro-lens array of an embodiment of the present invention;

Fig. 8 is a schematic sectional view of a micro-lens array manufactured according to an embodiment of the present invention;

Fig. 9 is a schematic sectional view of a die for press-molding for a micro-combined optical element of an embodiment of the present invention; and

Fig. 10 is a schematic sectional view of a combined optical element manufactured according to an embodiment of the present invention;

Fig. 11 is a schematic sectional view of a die for press-molding for a micro-Fresnel lens of an embodiment of the present invention; and

Fig. 12 is a schematic sectional view of a micro-Fresnel lens of an embodiment of the present invention.

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

Referring now to the appended drawings, a die for press-molding of grating is explained first.

A grating master shown in Fig. 1 is produced as explained below. First, an aluminum (AI) thin film 12 is deposited on a flat glass substrate 11 and a saw-teethed grating shape of  $2 \mu m$  pitch and  $0.5 \mu m$  depth is formed accurately with a ruling engine. Next, after a releasing agent is coated on the surface of the grating master, a nickel (Ni) film 22 of about 0.1  $\mu m$  thickness is deposited on the saw-teethed thin film 12, and further a thin film 21 of nickel-phosphor (Ni-P) alloy of 50  $\mu m$  thickness is applied to the nickel film 22 with an electroplating process. The two-layer thin films 21, 22 thus produced are released from the grating master. Thus, the shape of the grating master is transferred inversely to the thin film 22. Fig. 2 shows a section of the thin films 21, 22 in this state.

Next, the rear surface of the Ni-P thin film 21 of the two-layers 21, 22 is ground to have a flat surface. On the other hand, a cermet cylinder 31 having TiN as a main component and having 20 mm diameter and 6 mm thickness are polished to have top and bottom flat planes and the top plane is polished to have a specular flat surface. Then, the flat surface of the two-layer thin films 21, 22 (32) is adhered with an

adhering agent to the specular flat surface of the cermet cylinder. Fig. 3 shows the die in this state.

If glass is press-molded with the die shown in Fig. 3, the surface of the Ni-P thin film 22 is oxidized and a very accurate grating cannot be formed. Then, as shown in Fig. 4, a thin film 43 of platinum-rutheniumtantalum (Pt-Ru-Ta) alloy of about 5 µm thickness is applied to the surface of the die with sputtering as a protective layer. Thus, a die for press-molding for a very accurate glass grating is completed.

That is, the die comprises the cermet base body 31, the layer 32 having an inverse shape of the grating master adhered to the base body 31, and the Pt-Ru-Ta alloy protective layer 43 applied to the layer 32. Because the die for press-molding explained above is an accurate replica of an inverse shape of the grating master shown in Fig. 1, very accurate glass gratings having the same shape of the grating master can be molded with the die.

Next, it is explained how a glass grating is manufactured with the die for press-molding. Fig. 5 illustrates a press-molding machine used in the present embodiment schematically. A top die 53 is fixed to a block 51, and the block 51 is fixed to a plunger 510. A heater 52 is provided in the block 51, and a thermo-couple 58 measures the temperature of the top die 53. On the other hand, a bottom die 59 is fixed to a block 57, and the block 57 is fixed to a base 514. A heater 56 is provided in the block 57, and a thermo-couple 59 measures the temperature of the bottom die 59. A glass plate 54 to be molded is put between the top and bottom dies 53, 55. By driving the plunger 510 downward, the glass plate 54 is molded. A position sensor 511 is provided to detect a stopper 512 fixed to the plunger 510. A cover 513 encloses the top and bottom dies 53, 55,

The die for press-molding shown in Fig. 4 is used as the top die 53, while a flat die is used as the bottom die 54. The flat die 54 is made from a cermet cylinder of 20 mm diameter and 6 mm thickness, having TiN as a main component. The top and bottom planes of the flat die 54 have flat surfaces, and the top plane is polished to have a specular plane and coated with a Pt-Ru-Ta alloy thin film of about 5 µm thickness as a protective layer on the cermet cylinder with sputtering. A glass plate 54 of trade name SF-8 which has been formed to be a disc of 10 mm radius and 1 mm thickness is put on the bottom die 55, and the top die 53 is put above the glass plate 54. Nitrogen environment is realized inside the cover 513. Then, the temperature is increased to 500 °C by the heaters 52, 56, and the pressure of about 40 kg/cm2 is applied by the plunger 510 to deform the glass plate 54 between the top and bottom dies 53, 55 to produce a glass grating 61 as shown schematically in Fig. 6. Then, the temperature is decreased to 400 °C, and the resultant glass grating 61 is taken out from the press-molding machine.

The performance of the die is examined as explained below. After repeating the above-mentioned press-molding 10,000 times, the top and bottom dies 53 and 55 are taken out from the machine. Then, the die accuracy is evaluated for them by observing the state of the surface in contact with the glass plate 54 for press-molding with an optical microscope while by measuring the surface roughness (rms value, Å) at the same time. Because a prior art die is not available to form an accurate grating, two dies are prepared for comparison experiments; a flat die made of a prior art SiC sintered material, and a flat die of hard metal base body having WC as a main component coated with a Pt-Ir alloy film. They are used for press-molding repeatedly 10,000 times in the press-molding machine, and the die accuracy is evaluated thereafter. The results of the press-molding test are shown in Table 1.

Table 1

Sample No.	Die	Surface roughness (rms, Å)	Surface state after press-molding of 10000 times	
			Surface roughness	Surface state
1	This invention	Top Die 9.0	9.2	good
		Bottom Die 9.2	9.5	good
2	SiC sintered die	Top Die 12.2	cannot be measured	Glass adhered
		Bottom Die 11.8	cannot be measured	Glass adhered
3	Die of WC coated with Pt-Ir	Top Die 9.11	9.3	Good
	•	Bottom Die 9.8	- 9.1	Good

The die (Sample No. 2) with a flat surface made of SiC sintered material cannot be used for pressmolding any further after several press-molding as to both top and bottom dies because glass adheres to

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the surfaces thereof.

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As to the die (Sample No. 3) with a flat surface made of WC base body coated with Pt-Ir alloy film, the surface roughness is 9.3 Å for the top die and 9.1 Å for the bottom die after 10,000 times press-molding. That is, the surface roughness for the top and bottom dies is nearly the same before press-molding, and this shows that the dies with a flat surface can be used for mass production. Further, the surface state of the dies does not change at all if compared to\_that before press-molding. Unfortunately, a die for grating having the same structure as the No. 3 sample cannot be produced because of difficulty of forming.

As to the die (Sample No. 1) of the present invention, the surface state does not change at all by repetitive press-molding, and the surface roughness does not change at all after 10,000 times press-molding. Therefore, the die of the present invention has a die life of about the same order as the No. 3 die though the die of the present invention has a surface of fine shape in contrast to the flat surface of the No. 3 die. That is, mass production of accurate glass gratings becomes possible by using the die of grating for press-molding.

As explained above, a die for press-molding of glass grating can be produced easily, and a die produced by this method has very good durability and has a long life. Thus, glass gratings can be press-molded repeatedly.

Further, by measuring the shape of 10,000 glass gratings produced with press-molding, it is observed that the gratings has the same shape as the grating master. Still further, after glass gratings manufactured as explained above are put for 300 hours in an environment of 60 °C temperature and 95% humidity, the shape thereof is measured, but no change of the shape is observed. Thus, the gratings are found very reliable.

A micro-optical element produced with the die has a very accurate shape. Because it is made of glass, the durability of the element is very superior, and a change due to temperature and humidity is extremely small if compared with that made of a thermo-plastic resin.

Though a cermet consisting TiN as a main component is used for the base body 31 of the die for press-molding, similar results can be obtained by using a hard metal consisting WC as a main component, a cermet consisting TiC as a main component or a WC sintered material for the base body 31.

The composite layer 32 is made of heat-resistant materials. It may be made of a heat-resistant metal, a heat-resistant metal oxide, a heat-resistant metal nitride or heat-resistant metal carbide.

Further, though a Pt-Ru-Ta alloy thin film is used as the protective layer 43, needless to say, other platinum group alloy films which is endurable and have little reactivity with glass may also be used to obtain similar results.

Still further, though the Ni-P alloy plating process with electroplating is used to form a thin film 22 having a transferred shape of the grating master shown in Fig. 1, any method for duplicating the inverse shape of the grating master can be used.

Further, mass production of other glass micro-optical elements than the grating having fine shapes also is not realized previously. However, a die for press-molding of such an optical element can also be manufactured similarly.

Fig. 7 shows a die for press-molding of a micro-lens array shown in Fig. 8. The micro-lens array consists of an array of micro-lenses each of 200 µm diameter which can be used for converging light to each pixel in a charge-coupled device, a liquid crystal panel or the like to use light efficiently.

A master of the micro-lens array is produced first. After a releasing agent is coated on the surface of the master, a nickel (Ni) film 73 of about 0.1  $\mu$ m thickness is deposited on the surface of the master, and a thin film 72 of nickel-phosphor (Ni-P) alloy of 50  $\mu$ m thickness is applied to the nickel film 73 with an electroplating process. Then, the two-layer thin films 72, 73 thus produced are separated from the master, and the shape of the master is transferred inversely to the thin film 73. The rear plane of the film 73 is ground to form a flat plane. On the other hand, a cermet cylinder 71 having TiN as a main component and having 20 mm diameter and 6 mm thickness are polished to have top and bottom planes and the top plane is polished to have a specular plane. Then, the thin film 72 is adhered with an adhering agent to the specular plane of the cermet cylinder. Further, a thin film 74 of platinum-ruthenium-tantalum (Pt-Ru-Ta) alloy of about 5  $\mu$ m thickness is applied to the surface of the film 73 with sputtering as a protective layer. Then, the die shown in Fig. 7 is completed. By using the die as the top die 53 in the press-molding machine shown in Fig. 5, the micro-lens array shown in Fig. 8 can be produced accurately.

Fig. 9 is a schematic sectional view of a die for press-molding for a composite micro-optical element shown schematically in Fig. 10. The composite micro-optical element in this embodiment is a micro-grating lens integrated with a single convex lens of 3mm diameter, and it can reduce aberration.

A master of the composite micro-optical element is produced first. After a releasing agent is coated on the surface of the master, a nickel (Ni) film 93 of about 0.1 µm thickness is deposited on the surface of the

master, and a thin film 92 of nickel-phosphor (Ni-P) alloy of 50  $\mu$ m thickness is applied to the nickel film 93 with an electro-plating process. The two-layer thin films 92, 93 thus produced are separated from the master, and the shape of the master is transferred inversely to the thin film 93. The rear plane of the film 93 is ground to form a flat plane. On the other hand, a cermet cylinder 91 having TiN as a main component and having 20 mm diameter and 6 mm thickness are polished to have top and bottom planes and the top plane is polished to have a specular plane. Then, the thin film 92 is adhered with an adhering agent to the specular plane of the cermet cylinder. Further, a thin film 94 of platinum-ruthenium-tantalum (Pt-Ru-Ta) alloy of about 5  $\mu$ m thickness is applied to the surface of the film 93 with sputtering as a protective layer. Then, the die shown in Fig. 9 is completed and is used as the top die 53. A die having a prescribed shape in correspondence with the shape of the convex lens is produced as the bottom die 55. By using the top and bottom dies 53, 55 in the press-molding machine shown in Fig. 5, composite optical elements shown in Fig. 10 can be produced accurately.

Fig. 11 shows a die for press-molding a micro-Fresnel lens shown in Fig. 12. The micro-Fresnel lens of 1.2 mm diameter is a diffraction type lens of a very thin thickness of 1  $\mu$ m and it can be used for a photodetector, a beam splitter or the like.

A master of the micro-Fresnel lens is produced first. After a releasing agent is coated on the surface of the master, a nickel (Ni) film 113 of about 0.1  $\mu$ m thickness is deposited on the surface of the surface of the master, and a thin film 112 of nickel-phosphor (Ni-P) alloy of 50  $\mu$ m thickness is applied to the nickel film 113 with an electro-plating process. The two-layer thin films 112, 113 thus produced are released from the master, and the shape of the master is transferred inversely to the thin film 113. On the other hand, a cermet cylinder 111 having TiN as a main component and having 20 mm diameter and 6 mm thickness are polished to have top and bottom planes and the top plane is polished to have a specular plane. Then, the thin film 112 is adhered with an adhering agent to the specular plane of the cermet cylinder. Further, a thin film (not shown for brevity of drawing) of platinum-ruthenium-tantalum (Pt-Ru-Ta) alloy of about 5  $\mu$ m thickness is applied to the surface of the film 113 with sputtering as a protective layer. Then, the die shown in Fig. 11 is completed. By using the die as the top die 53 in the press-molding machine shown in Fig. 5, the micro-Fresnel lenses shown in Fig. 12 can be produced accurately.

Although the present invention has been fully described in connection with the preferred embodiments thereof with reference to the accompanying drawings, it is to be noted that various changes and modifications are apparent to those skilled in the art. Such changes and modifications are to be understood as included within the scope of the present invention as defined by the appended claims unless they depart therefrom.

#### Claims

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- 1. A die for manufacturing a micro-optical element, comprising:
  - a base body having a top plane;
  - a first layer applied to the top plane of the base body, which first layer having a surface of an inverse shape of a micro-optical element to be manufactured, which first layer comprising a heat-resistant material; and
  - a second layer formed on the surface of the film, the second layer comprising a material which does not adhere to glass and is heat-resistant at high temperatures wherein the die is used.
- 2. The die according to Claim 1, wherein said base body comprises a hard metal including tungsten carbide (WC) as a main component, a cermet including titanium carbide (TiC) or titanium nitride (TiN) as a main component or a WC sintered material.
  - 3. The die according to Claim 1, wherein said first layer comprises a heat-resistant metal, a heat-resistant metal oxide, a heat-resistant metal nitride or heat-resistant metal carbide.
  - 4. The die according to Claim 1, wherein said second layer comprises a platinum group alloy including at least one among platinum (Pt), rhodium (Rh), iridium (Ir), ruthenium (Ru) and osmium (Os).
  - 5. The die according to Claim 1, wherein said micro-optical element is a grating.
  - 6. The die according to Claim 1, wherein said micro-optical element is a micro-Fresnel lens.
  - 7. The die according to Claim 1, wherein said micro-optical element is a micro-lens array.

8. A manufacturing method of a die for forming a micro-optical element, comprising the steps of:

providing a master of a micro-optical element to be manufactured;

forming a first layer on the surface of the master, which first layer having a first surface of an inverse shape of the master, which first layer comprising a heat-resistant material;

separating the first layer from the master;

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forming a second surface other than the first surface of the first layer flat; providing a base body having a flat plane;

adhering the second surface of the first layer to the flat plane of the base body made of a hard metal; and

coating a second layer on the surface of the film; which second layer comprising a material which does not adhere to glass and is heat-resistant at high temperatures wherein the die is used.

- 9. The manufacturing method according to Claim 8, wherein said base body comprises a hard metal including tungsten carbide (WC) as a main component, a cermet including titanium carbide (TiC) or titanium nitride (TiN) as a main component or a WC sintered material.
- 10. The manufacturing method according to Claim 8, wherein said first layer comprises a heat-resistant metal, a heat-resistant metal oxide, a heat-resistant metal nitride or heat-resistant metal carbide.
- 11. The manufacturing method according to Claim 8, wherein said first layer is formed with a wet deposition process of electroplating or electroless plating or with a dry process of vacuum deposition, sputtering or ion plating.
- 12. The manufacturing method according to Claim 8, wherein said second layer is made of a platinum group alloy including at least one among platinum (Pt), rhodium (Rh), iridium (Ir), ruthenium (Ru) and osmium (Os)...
  - 13. The manufacturing method according to Claim 8, wherein said micro-optical element is a grating.
- 14. The manufacturing method according to Claim 8, wherein said micro-optical element is a micro-Fresnel
  - 15. The manufacturing method according to Claim 8, wherein said micro-optical element is a micro-lens array.
  - 16. A manufacturing method of a micro-optical element, comprising the steps of:

providing a first die for micro-optical element manufactured in steps of: providing a master of a micro-optical element to be manufactured; forming a first layer on the surface of the master, which first layer having a first surface of an inverse shape of the master, which first layer comprising a heat-resistant material; separating the first layer from the master; forming a second surface other than the first surface of the first layer flat; providing a base body having a flat plane; adhering the second surface of the first layer to the flat plane of the base body made of a hard metal; and coating a second layer on the surface of the film; which second layer comprising a material which does not adhere to glass and is heat-resistant at high temperatures wherein the die is used;

providing a second die produced in steps of: grinding a surface of a base body of a hard metal, and coating a third layer on the surface, which third layer comprising a heat-resistant material;

putting a glass plate between the first and second dies;

heating the glass plate above the softening temperature of the glass plate; press-molding the glass plate between the first and second dies; and cooling the glass plate to take out it between the two dies.

17. An micro-optical element manufactured by the steps of:

providing a first die for micro-optical element manufactured in steps of: providing a master of a micro-optical element to be manufactured; forming a first layer on the surface of the master, which first layer having a first surface of an inverse shape of the master, which first layer comprising a heat-resistant material; separating the first layer from the master; forming a second surface other than the first surface of the first layer flat; providing a base body having a flat plane; adhering the second surface of the first layer to the flat plane of the base body made of a hard metal; and coating a second

layer on the surface of the film; which second layer comprising a material which does not adhere to glass and is heat-resistant at high temperatures wherein the die is used;

providing a second die produced in steps of: grinding a surface of a base body of a hard metal, and coating a third layer on the surface, which third layer comprising a heat-resistant material;

putting a glass plate between the first and second dies;

heating the glass plate above the softening temperature of the glass plate; press-molding the glass plate between the first and second dies; and cooling the glass plate to take out it between the two dies.

- 10 18. The micro-optical element according to Claim 17, wherein said micro-optical element is a grating.
  - 19. The micro-optical element according to Claim 17, wherein said micro-optical element is a micro-Fresnel lens.
- 20. The micro-optical element according to Claim 17, wherein said micro-optical element is a micro-lens array.

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Fig. 1

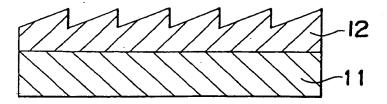


Fig. 2

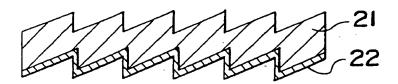


Fig.3

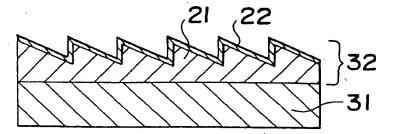


Fig.4

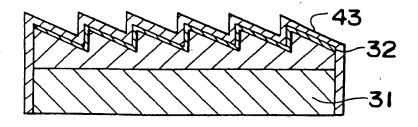


Fig. 5

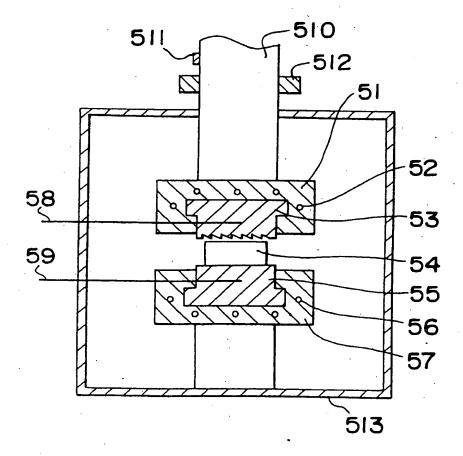


Fig.11

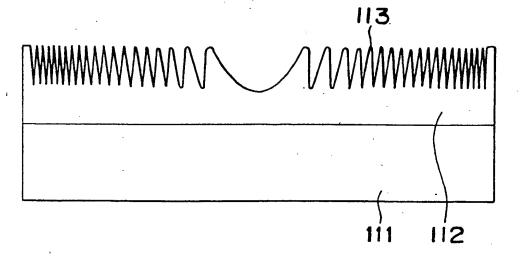
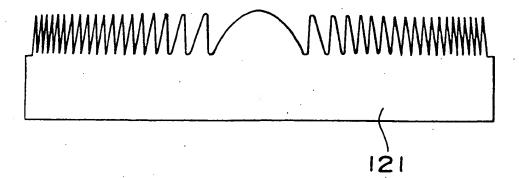


Fig. 12





# **EUROPEAN SEARCH REPORT**

Application Number

EP 93 10 6355

X		rzzstez	to claim	APPLICATION (Int. Cl.5)
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(	PATENT ABSTRACTS OF vol. 011, no. 346 1 & JP-A-62 125 636 ( * abstract *		1,2,3	
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	THE HAGUE	20 AUGUST 1993	<b>,</b>	PFAHLER R.
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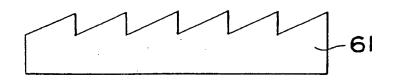


Fig.7

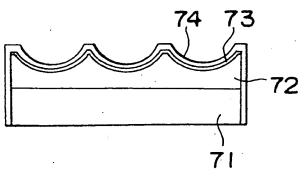


Fig.8



